# Midterm: Improving Responsivity of the InMind Movie Agent Through Incremental Processing 

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#### Abstract

Carnege Mellon University's InMind team is currently working on an intelligent personal assistant who, through a mobile application, connects socially with its user while completing a specific task. The following paper details the current latencies within this dialog agent's architecture, as well as an implemented solution, involving incremental processing, to increase its response time and the effects of this solution on agent-user interaction.


## 1 Introduction

The InMind Movie Agent, developed as part of Carnegie Mellon's collaborative InMind project, uses genre, director, and actor preferences to recommend movies to a user through a mobile application setting. We define a turn exchange for the agent as a unit that begins when the user presses the app button to speak and ends when the movie agent initiates a reply; one turn exchange thus encompasses the user's speech, the processing of that speech and generation of a response, and the agent's output of said response. A conversation between a user and the movie agent will consist of several turn exchanges.

At present, there exists a significant delay, comprised of multiple latencies from different architectural components, within each turn exchangespecifically between the end of a user's utterance and the start of the dialog agent's ensuing response. Our goal is thus to diminish the overall delay by identifying and eliminating the largest contributors within this time span.

Possible relevant solutions for eliminating latencies include incremental processing regarding the dialog agent's response and speculative execution regarding partial ASR results. Past research indicates that incremental systems are preferred by users and rated not only as faster, but also as more efficient and polite.

In this paper, we examine the results of an in-depth analysis of latencies within turn exchanges; use those results to determine which of the mentioned solutions would prove effective if implemented; discuss the strategies used to implement these solutions; and
note the impact of these implementations through the results of a comparative user study. Specifically, we study how the elimination of identified time delays through an incremental processing approach alters interactions between agent and user, as well as the user's evaluation of such interactions.

## 2 Analysis of Latencies

### 2.1 Overview

We can categorize turn exchanges into two groups: those which involve queries to the movie database, and those which do not. The following data are compiled from 115 turn exchanges, where 42 fall in the former category; these exchanges were obtained from conversations between ArticuLab members and the dialog agent.

Time delays within these turn exchanges were identifed through the use of an analysis tool, which was developed to parse conversations, distinguish unique turn exchanges, and collect various statistics, the most significant of which are detailed in the following section.

### 2.2 Analysis Tool

The analysis tool utilizes output from log files of the multiuser framework (MUF) server, phone client, and NLU/DM, using the timestamps of log messages to calculate the time spans of various processes.

### 2.2.1 Structure

Within the analysis tool, the TurnExchange object, representing a turn exchange, is comprised of an enum map; each key is a unique EntryType, and each value is the LogLine object (containing data from a specific $\log$ line) that corresponds to that key.

An EntryType (enum type) represents a unique action (corresponding to a log message) that takes place during a turn exchange (i.e. the social reasoner (SR) obtaining a strategy to implement). The complete set of EntryType variables, as well as their chronological ordering within a turn exchange, is detailed in Appendix A.

### 2.2.2 Calculations

The following statistics are collected by the analysis tool (and discussed in the Results section).

Timing for Modular Components As the log messages within logs often indicate the start or end of processes, the difference between the timestamps of two specific log messages translates to the duration of the specific modular process that they encompass. The TurnExchange function
duration(entryType1, entryType2)
gives the time in milliseconds between the occurrences of entryType 1 and entryType2, respectively. When applied to several TurnExchange objects, the function ultimately provides a dataset of latencies (for a particular module) that we can evaluate.

Timing for Speech Results For each TurnExchange object, the incremental speech results of Google ASR are collected chronologically and stored, with their corresponding timestamps, in a list. This allows for comparison of partial and full results, as well as time delays between a specific speech result and the subsequent final result.


Figure 1: Timeline overview of TurnExchanges with queries

### 2.3 Results

### 2.3.1 Component Latencies

A timeline overview can be seen in Figure 1. The resulting statistics show four significant latencies, where a "significant latency" is defined as one with a duration over .1000s:

Pointer down to Google ASR output This latency can be ignored, as it measures the delay between the user's pressing of the app button and the Google ASR's output of that user's utterance. This
has no correlation with the length of the user's utterances or duration for which s/he speaks; moreover, this latency is dependent on the user's actions only and is not a result of the InMind architecture.


Figure 2: Google endpointing delay

Google endpointing The time delay between Google ASR's return of a final output and the sending of that output to the multiuser framework. These results are displayed in histogram form in Figure 2.


Figure 3: Query time for TurnExchanges with queries

NLU/DM Query A movie database query takes a mean of 1.342 s and a median of 1.267 s , with a standard deviation of .2918 s . These results are displayed in histogram form in Figure 3.

Getting social strategy The social reasoner currently takes a mean of .2164 s and a median of .2850 s , with a standard deviation of .1105 s . However, as this latency is quite small compared to that of the ASR output and that of the movie database query, there is not an immediate need to make changes. We propose to re-evaluate this time delay once the larger ones have been [fixed].

### 2.3.2 Speech Service Latencies

Our analyses from the previous section do not provide enough evidence that implementing speculative execution would be beneficial. Based on incremental speech results from 114 turn exchanges, the average delay between the [speech service's generation of the correct final result] and the ASR's finalization of that result is .4876 s , with a standard deviation of .5818 s ; note that this yields a coefficient of variation of over 1 , and we thus conclude that there is no consistent delay that could be approached.

Futhermore, Appendix B. 2 shows that the delay between realization of a meaningful, partial ASR result and realization of the complete ASR result is neither constant nor significant Out of 55 utterances, only 36 had partial results (that leaves $34.55 \%$ without partial results).

### 2.4 Proposed Solutions

Based on the aforementioned results, we propose the following actions in order to reduce time delays and thus improve functionality:

- Investigate middleware to find cause of ASR output delay We propose a closer look at the middleware to better understand the time delay between the issue of the user's utterance and the sending of that utterance to the multiuser framework, particularly since no action appears to be taken within this time delay.
- Introduce incremental results within the InMind architecture Rather than work to reduce the duration of a movie database query, we seek to fold this latency into the InMind movie agent's social sentence through incorporating incrementality. This proposal (including the concept of folding) will be further explored in the next section.

We additionally conclude that incorporation of speculative NLU will not prove beneficial at this time and will thus not be implemented.

## 3 Incremental Processing

### 3.1 Folding Query Time

In terms of the InMind movie agent, a social sentence, underlined in the examples below, refers to the utterance preceding the agent's actual question or movie recommendation to the user:

I like the way you think! Who are your favorite directors?

Wow, here is one I'd love to go to. It's called Oblivion (2013).
$\frac{\text { I think this movie fits your tastes. }}{\text { about Edge of Tomorrow (2014)? }} \quad$ How
The shortest social sentence (in terms of both audio and word count) that the InMind movie agent produces-"I think this movie fits your tastes"-takes approximately $1712 \mathrm{~ms}(1.712 \mathrm{~s})$ for the agent to speak. This is more than enough time for the 1.342 s movie query time to be folded into the social sentence, where folding here means having the InMind agent verbalize the social sentence while the movie database is being queried; recall that the query result is only required for the agent's actual recommendation (second sentence).

Note that for responses without a social sentence, which are currently of the form "How about [movie title]?" and only occur when no social strategy is set, adding a simple phrase like "Let me think for a moment", which takes a mean of 1.342 s , will still add sufficient time for folding.

### 3.2 Overview of Changes

At present, the dialogue manager (DM) handles queries by outputting a Recommendation objectwhich includes the movie to be recommended-once the movie database has been queried. The social reasoner then selects a strategy; the NLG generates an appropriate response (consisting of a social sentence and a movie recommendation sentence), filling in the latter with the movie title from the Recommendation object; and the NLG response is sent to speech. This flow of events is represented in Figure 4 below:


Figure 4: Current system
We instead propose an incremental approach (Figure 5):

1. The DM outputs the Recommendation object, which contains an underspecified variable in lieu of an actual movie title.
2. While the DM queries the movie database for a movie title, the social reasoner selects a strategy, and the NLG generates an appropriate response,


Figure 5: Proposed system
with the social sentence and movie recommendation sentence as two separate entities.
3. As the social sentence contains no variable, the NLG immediately forwards it to speech.
4. While the social sentence is being spoken by the InMind movie agent, the DM finishes its movie query and sends the result to the multiuser framework; as it is now able to retrieve a value from the Recommendation object, the NLG replaces the variable in the movie recommendation sentence with the newly given movie title. The movie recommendation sentence is then forwarded to speech.
5. Since a movie query takes less time than the verbalization of a social sentence, TTS will wait until the social sentence is finished and then output the movie recommendation sentence.

### 3.3 Modular Changes

To implement this incrementality, the following components of the InMind system will require modifications:

NLG Component The NLG component will need to process the social sentence and recommendation sentence of an intent separately. The social sentence can be processed immediately; the recommendation sentence, containing the variable of a recommended movie title, must await further information from the NLU/DM.

DM on Python side If a movie recommendation is necessary, the DM will need to handle two queries, the first being the underspecified variable and the second being the actual movie result.

Text to speech To prevent the InMind movie agent from interrupting itself once the recommendation sentence arrives, TTS needs to be aware of when the agent has finished speaking and, meanwhile, add the received recommendation sentence to a queue.

## A EntryTypes

## A. 1 List of EntryTypes

An EntryType represents a unique log message found within a TurnExchange. Each EntryType name roughly corresponds to LogType_Component_Action.

Phone_TTS_Initialized
Phone_Unity_PointerDown
Phone_Unity_ServerStarted
Phone_SpeechService_GettingOutput
Phone_Unity_PointerUp
Phone_ASROutput
Phone_CCC_Message
Phone_MUS_SentMessage
Phone_SpeechService_APIComplete
MUF_Orchestrator_ReceivedMessage
MUF_Orchestrator_UtteranceFromASR
MUF_RE_ReceivedBlackboard
MUF_RE_ReceivedMessage
MUF_NLUDM_ReceivedMessage
MUF_NLUDM_SentGreeting
MUF_NLUDM_ToBlackboard
NLUDM_ASR
NLUDM_NLU
NLUDM_DM
NLUDM_Query
MUF_NLUDM_ReceivedAction
MUF_SR_SetStrategy
MUF_NLG_ReceivedStrategy
MUF_NLG_ToBlackboard
MUF_Orchestrator_ToClient
MUF_NLG_BSONToAndroid
MUF_NLG_Sentence
Phone_CCC_Request
Phone_MultiuserEvent_Request
Phone_MessageToUnity
Phone_Unity_MessageFromAndroid

## A. 2 EntryType Timelines

Tables 1 and 2 below show the chronology of log messages for initial turn exchanges and subsequent turn exchanges, respectively.

Table 1: Chronological timeline of log messages, first exchange


Table 2: Chronological timeline of log messages, subsequent exchanges

| Phone log | MUF log | MUF/DM log |
| :---: | :---: | :---: |



## A. 3 EntryTypePairs

The following section lists the EntryTypePairs currently considered by the analysis tool (for pie chart and timeline graphics), along with explanations of the processes each EntryTypePair represents.

Phone_Unity_PointerDown, Phone_Unity_PointerUp The duration for which the user holds down the button in the InMind movie agent app. It is important to note that this duration does not correlate to the user's utterance in any way and is not a result of the InMind architecture.

Phone_Unity_PointerUp, Phone_ASROutput The time between the user's release of the app button and Google ASR's output of the user's utterance.

Phone_ASROutput, Phone_MUS_SentMessage The time between Google ASR's output and the
multiuser service's sending of that output.

Phone_MUS_SentMessage, MUF_Orchestrator_ReceivedMessage Time it takes for the message to travel from phone to multiuser framework.

MUF_Orchestrator_ReceivedMessage, MUF_Orchestrator_UtteranceFromASR Time it takes for the orchestrator to post $M S G_{-} A S R$ (the message sent from the phone) to the blackboard.

MUF_Orchestrator_UtteranceFromASR, MUF_NLUDM_ReceivedMessage Time it takes for the NLU/DM component to receive $M S G_{-} A S R$ from the blackboard.

MUF_NLUDM_ReceivedMessage, MUF_NLUDM_ToBlackboard Time betwen the NLU/DM component receiving $M S G_{-} A S R$ and the client communication controller beginning to send $M S G_{-} A S R$ (to DialoguePython).

MUF_NLUDM_ToBlackboard, NLUDM_ASR Time it takes for $M S G_{-} A S R$ to travel from multiuser framework to NLU/DM.

NLUDM_ASR, NLUDM_NLU Time it takes for NLU/DM to convert ASR into a user intent; in other words, the duration of NLU.

NLUDM_NLU, NLUDM_DM Time it takes for NLU/DM to decide on action intent based on user intent; in other words, the duration of dialogue manager.

NLUDM_DM, NLUDM_Query Time it takes for movie recommendation to be queried from the movie database (query time).

NLUDM_Query, MUF_NLUDM_ReceivedAction Time it takes for action intent (with query result, if applicable) to travel from NLU/DM to multiuser framework.

MUF_NLUDM_ReceivedAction, MUF_SR_SetStrategy Time it takes for social reasoner to select strategy (stored as $M S G_{-} S R$ ) based on $M S G_{-} D M$ (the action intent); in other words, the duration of SR.

MUF_SR_SetStrategy, MUF_NLG_ReceivedStrategy Time it takes for NLG component to receive $M S G_{-} S R$ from the blackboard.

MUF_NLG_ReceivedStrategy, MUF_NLG_Sentence Time it takes for BEAT to process the NLG sentence (generated using $M S G_{-} S R$ ).

MUF_NLG_Sentence, MUF_Orchestrator_ToClient Time between the end of the BEAT process and its output (stored as $M S G_{-} N L G$ ) being sent to the phone.

MUF_Orchestrator_ToClient, Phone_CCC_Request Time it takes for response ( $M S G_{-} N L G$ ) to travel from multiuser framework to phone.

Phone_CCC_Request, Phone_MultiuserEvent_Request Time between the client communication controller receiving the response and the multiuser event receiving the response.

Phone_MultiuserEvent_Request, Phone_MessageToUnity Time between the multiuser event receiving the response and the phone sending the message to Unity.

Phone_MessageToUnity, Phone_Unity_MessageFromAndroid Time between the phone sending the message to Unity and the start of the movie agent's response.

## A. 4 EntryTypePair Latencies

Pointer down to Google ASR output n: 42 min: 1960.0 max: 4940.0 mean: 2777.6190476190477 std dev: 752.6337804226018 median: 2425.0 skewness: 1.3381366163783324 kurtosis: 1.0584566345601547

Google endpointing n: 42 min: 160.0 max: 5900.0 mean: 1365.952380952381 std dev: 1350.8372462920183 median: 870.0 skewness: 1.7081486586609236 kurtosis: 2.5627220433963074

NLU/DM Query n: 42 min: 906.0 max: 2080.0 mean: 1342.357142857143 std dev: 291.7670990185261 median: 1267.0 skewness: 0.5813324047470905 kurtosis: - 0.43922731187703157

Social strategy n: 42 min: 62.0 max: 333.0 mean: 216.4047619047619 std dev: 110.529779570454 median: 285.0 skewness: -0.47936878627777624 kurtosis: -1.7684598079312068

## B Incremental Speech Results

## B. 1 Speech Service Latencies

n: 114 min: 0.0 max: 2170.0 mean: 487.6315789473685 std dev: 581.7828908547331 median: 80.0 skewness: 1.0273836716847586 kurtosis: 0.4274462709804938

## B. 2 Incremental Speech Result Times

Table 3 shows the results, where "timespan" refers to the elapsed time between the partial result and the full result.

## B. 3 More Incremental Things

Also, this will be a.
Table 3: Incremental Speech Result Times

| Initial result time | Partial result time | Partial result text | Full result time | Full result text | Time between partial, full results |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 14:29:52.344 | 14:29:52.744 | I like action | 14:29:53.714 | I like action movies | 00:00:00.970 |
| 14:30:01.134 | 14:30:01.234 | I don't have | 14:30:01.424 | I don't have any | 00:00:00.190 |
| 14:30:11.394 | 14:30:11.884 | $\mathrm{n} / \mathrm{a}$ | 14:30:11.884 | I like Tom Cruise | 00:00:00.000 |
| 14:30:23.714 | 14:30:24.964 | I've already seen that | 14:30:26.004 | I've already seen that can you suggest something else | 00:00:01.040 |
| 15:17:10.984 | 15:17:14.294 | $\mathrm{n} / \mathrm{a}$ | 15:17:14.294 | Yes absolutely I'm very much like horror movies | 00:00:00.000 |
| 15:17:21.644 | 15:17:22.124 | I don't really have | 15:17:24.754 | I don't really have any in terms of horror movies | 00:00:02.630 |
| 15:17:33.314 | 15:17:33.314 | Horror movie | 15:17:33.344 | Horror movies | 00:00:00.030 |
| 15:17:46.634 | 15:17:48.784 | $\mathrm{n} / \mathrm{a}$ | 15:17:48.784 | Do you have something with Tom Cruise | 00:00:00.000 |
| 15:18:00.914 | 15:18:03.134 | Maybe a sci-fi movie | 15:18:04.684 | Maybe a sci-fi movie like with spaceships and stuff | 00:00:01.550 |
| 15:18:19.264 | 15:18:19.264 | Yes | 15:18:20.514 | Yes that's much better | 00:00:01.250 |
| 15:18:27.824 | 15:18:29.264 | $\mathrm{n} / \mathrm{a}$ | 15:18:29.264 | Can you give me another one | 00:00:00.000 |
| 15:18:41.444 | 15:18:42.254 | I like romantic | 15:18:43.134 | I like romantic comedies | 00:00:00.880 |
| 15:18:55.834 | 15:18:56.344 | $\mathrm{n} / \mathrm{a}$ | 15:18:56.344 | I like Woody Allen | 00:00:00.000 |
| 15:19:02.854 | 15:19:04.564 | $\mathrm{n} / \mathrm{a}$ | 15:19:04.564 | How about Scarlett Johansson | 00:00:00.000 |
| 15:19:30.794 | 15:19:30.794 | No I don't | 15:19:32.304 | No I don't like Alec Baldwin | 00:00:01.510 |
| 14:42:02.664 | 14:42:04.434 | $\mathrm{n} / \mathrm{a}$ | 14:42:04.434 | Give me some horror please | 00:00:00.000 |
| 14:42:42.644 | 14:42:42.754 | None | 14:42:44.154 | None I have none | 00:00:01.400 |
| 14:42:49.214 | 14:42:49.374 | I don't have | 14:42:49.654 | I don't have any | 00:00:00.280 |
| 15:54:48.554 | 15:54:48.554 | Action | 15:54:49.004 | Action movies | 00:00:00.450 |
| 15:54:54.994 | 15:54:55.384 | $\mathrm{n} / \mathrm{a}$ | 15:54:55.384 | Quentin Tarantino | 00:00:00.000 |
| 15:55:03.624 | 15:55:04.254 | $\mathrm{n} / \mathrm{a}$ | 15:55:04.254 | Charlize Theron | 00:00:00.000 |
| 15:55:15.014 | 15:55:15.284 | Already seen | 15:55:15.524 | Already seen that | 00:00:00.240 |
| 15:55:26.704 | 15:55:26.704 | I've seen | 15:55:27.054 | I've seen that too | 00:00:00.350 |
| 15:56:04.894 | 15:56:05.044 | Romantic | 15:56:05.574 | Romantic comedies | 00:00:00.530 |
| 15:56:14.284 | 15:56:14.604 | $\mathrm{n} / \mathrm{a}$ | 15:56:14.604 | Nora Ephron | 00:00:00.000 |
| 15:56:20.614 | 15:56:20.924 | Nora Ephron | 15:56:20.924 | Nora Ephron Sarah | 00:00:00.000 |
| 15:56:29.614 | 15:56:30.194 | $\mathrm{n} / \mathrm{a}$ | 15:56:30.194 | Judd Apatow | 00:00:00.000 |
| 15:56:36.434 | 15:56:36.844 | $\mathrm{n} / \mathrm{a}$ | 15:56:36.844 | Meg Ryan | 00:00:00.000 |
| 15:56:42.124 | 15:56:42.494 | n/a | 15:56:42.494 | Tom Hanks | 00:00:00.000 |
| 15:57:07.894 | 15:57:07.894 | I've seen | 15:57:08.164 | I've seen that too | 00:00:00.270 |
| 15:57:21.514 | 15:57:21.514 | Thank | 15:57:21.954 | Thanks Sarah | 00:00:00.440 |
| 16:04:39.414 | 16:04:39.414 | Horror | 16:04:39.544 | Horror movies | 00:00:00.130 |
| 16:04:48.714 | 16:04:49.124 | $\mathrm{n} / \mathrm{a}$ | 16:04:49.124 | I don't know | 00:00:00.000 |
| 16:05:29.224 | 16:05:29.224 | Thank | 16:05:32.544 | Thank you I like that movie | 00:00:03.320 |
| 18:55:01.734 | 18:55:02.094 | I don't have | 18:55:03.514 | I don't have any | 00:00:01.420 |
| 18:55:26.044 | 18:55:26.484 | Can you repeat | 18:55:28.064 | Can you repeat that | 00:00:01.580 |
| 19:02:12.294 | 19:02:14.004 | n/a | 19:02:14.004 | Christopher Nolan | 00:00:00.000 |
| 19:07:04.714 | 19:07:04.714 | I don't have | 19:07:06.274 | I don't have any favorite actors | 00:00:01.560 |
| 19:08:39.134 | 19:08:39.344 | I don't have | 19:08:42.394 | I don't have any favorite directors | 00:00:03.050 |
| 10:29:10.875 | 10:29:11.305 | Can you suggest | 10:29:12.415 | Can you suggest another one | 00:00:01.110 |
| 10:29:33.575 | 10:29:33.575 | Yes | 10:29:36.615 | Yes that's a good recommendation thank you Sarah | 00:00:03.040 |
| 10:29:45.705 | 10:29:45.705 | Thank | 10:29:45.955 | Thanks Sarah | 00:00:00.250 |
| 10:40:41.305 | 10:40:41.725 | I like action | 10:40:41.975 | I like action movies | 00:00:00.250 |
| 10:40:52.095 | 10:40:52.215 | I don't have | 10:40:52.445 | I don't have any | 00:00:00.230 |
| 10:40:59.025 | 10:40:59.615 | $\mathrm{n} / \mathrm{a}$ | 10:40:59.615 | I like Tom Cruise | 00:00:00.000 |
| 10:41:10.385 | 10:41:10.845 | Can you suggest | 10:41:11.935 | Can you suggest another one | 00:00:01.090 |
| 10:41:28.025 | 10:41:28.155 | I've already | 10:41:29.365 | I've already seen that one | 00:00:01.210 |
| 10:41:40.205 | 10:41:40.205 | Thank | 10:41:40.735 | Thank you Sarah | 00:00:00.530 |
| 16:15:53.985 | 16:15:55.735 | $\mathrm{n} / \mathrm{a}$ | 16:15:55.735 | Hi I like action movies | 00:00:00.000 |
| 16:16:01.105 | 16:16:01.215 | I don't have | 16:16:01.425 | I don't have any | 00:00:00.210 |
| 16:16:07.685 | 16:16:08.165 | n/a | 16:16:08.165 | I like Tom Cruise | 00:00:00.000 |
| 16:16:17.935 | 16:16:17.935 | I've already | 16:16:19.095 | I've already seen that one | 00:00:01.160 |
| 16:22:10.095 | 16:22:11.815 | $\mathrm{n} / \mathrm{a}$ | 16:22:11.815 | Hi I like action movies | 00:00:00.000 |
| 11:05:36.728 | 11:05:36.848 | I don't have | 11:05:37.098 | I don't have any | 00:00:00.250 |
| 11:05:43.618 | 11:05:43.778 | I don't have | 11:05:43.948 | I don't have any | 00:00:00.170 |

